

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Guillermo J. Tearney et al.
Serial No. : 09/709,162
Filed : November 10, 2000
Entitled : SPECTRALLY ENCODED MINIATURE ENDOSCOPIC
IMAGING PROBE
Group Art Unit : 3737
Examiner : James M. Kish
Confirmation No. : 3219

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the PCT, foreign and non-patent references are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute “prior art.” If the Examiner applies the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute “prior art” under

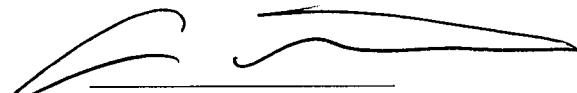
United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

As an Office Action on the merits was provided for this case, but before any final Office Action generated for the application, the requisite fee of \$180.00 pursuant to these sections and 37 C.F.R. § 1.17(p) is enclosed herewith. The Commissioner is hereby further authorized to charge any deficiencies and credit any over payments for the submission of this Information Disclosure Statement to the Dorsey & Whitney's Deposit Account 50-2054.

Respectfully submitted,

DORSEY & WHITNEY, LLP



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